

Thermal Oxidation of Si

- General Properties of SiO_2
- Applications of thermal SiO_2
- Deal-Grove Model of Oxidation

Thermal SiO_2 is amorphous.

Weight Density = 2.2 gm/cm^3

Molecular Density = $2.3\text{E}22 \text{ molecules / cm}^3$

Crystalline SiO_2 [Quartz] = 2.65 gm/cm^3

Thermal SiO₂ Properties

(1) Excellent Electrical Insulator

Resistivity $> 1\text{E}20$ ohm-cm

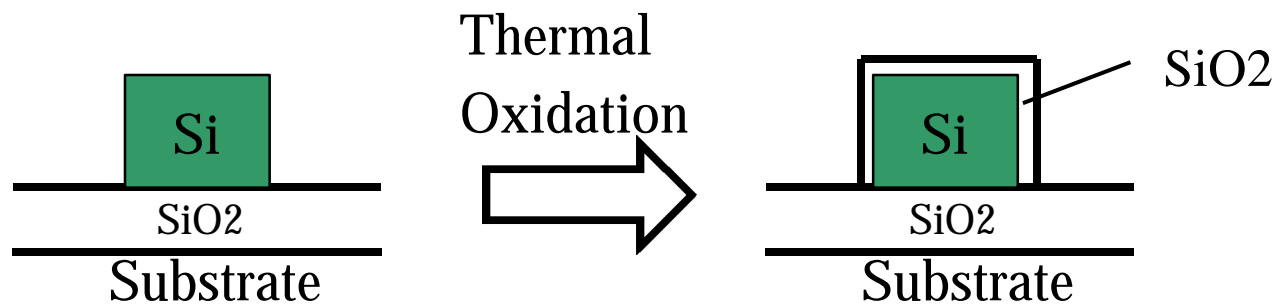
Energy Gap ~ 9 eV

(2) High Breakdown Electric Field

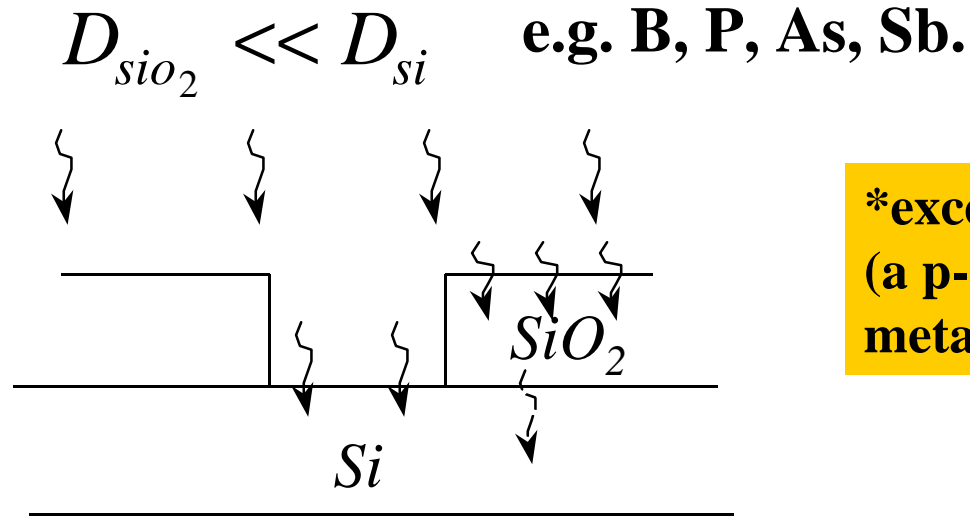
$> 10\text{MV/cm}$

(3) Stable and Reproducible Si/SiO₂ Interface

(4) Conformal oxide growth on exposed Si surface

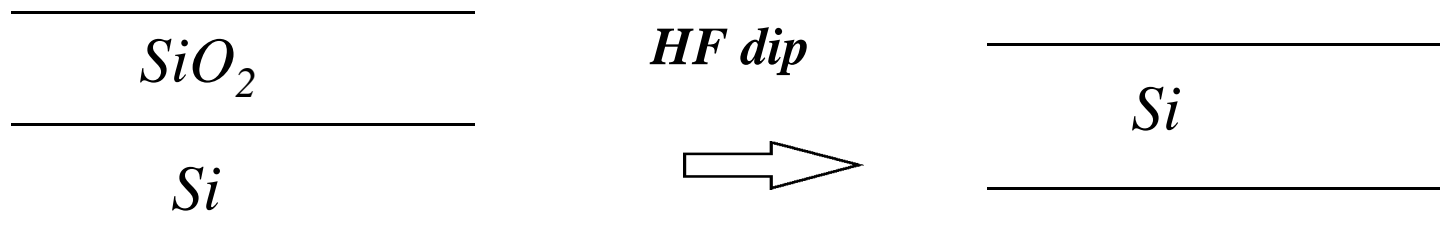


(5) SiO_2 is a good diffusion mask for common dopants

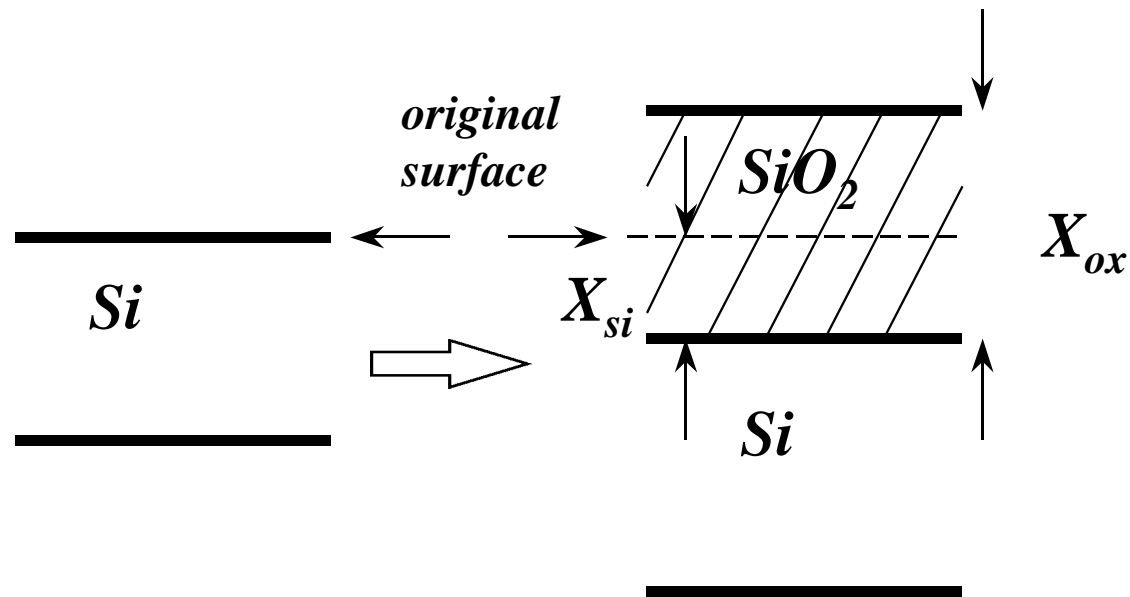


***exceptions are Ga (a p-type dopant) and some metals, e.g. Cu, Au**

(6) Very good etching selectivity between Si and SiO_2 .



Thickness of Si consumed during oxidation



$$X_{si} = X_{ox} \cdot \frac{N_{ox}}{N_{si}}$$

\leftarrow molecular density of SiO₂
 \leftarrow atomic density of Si

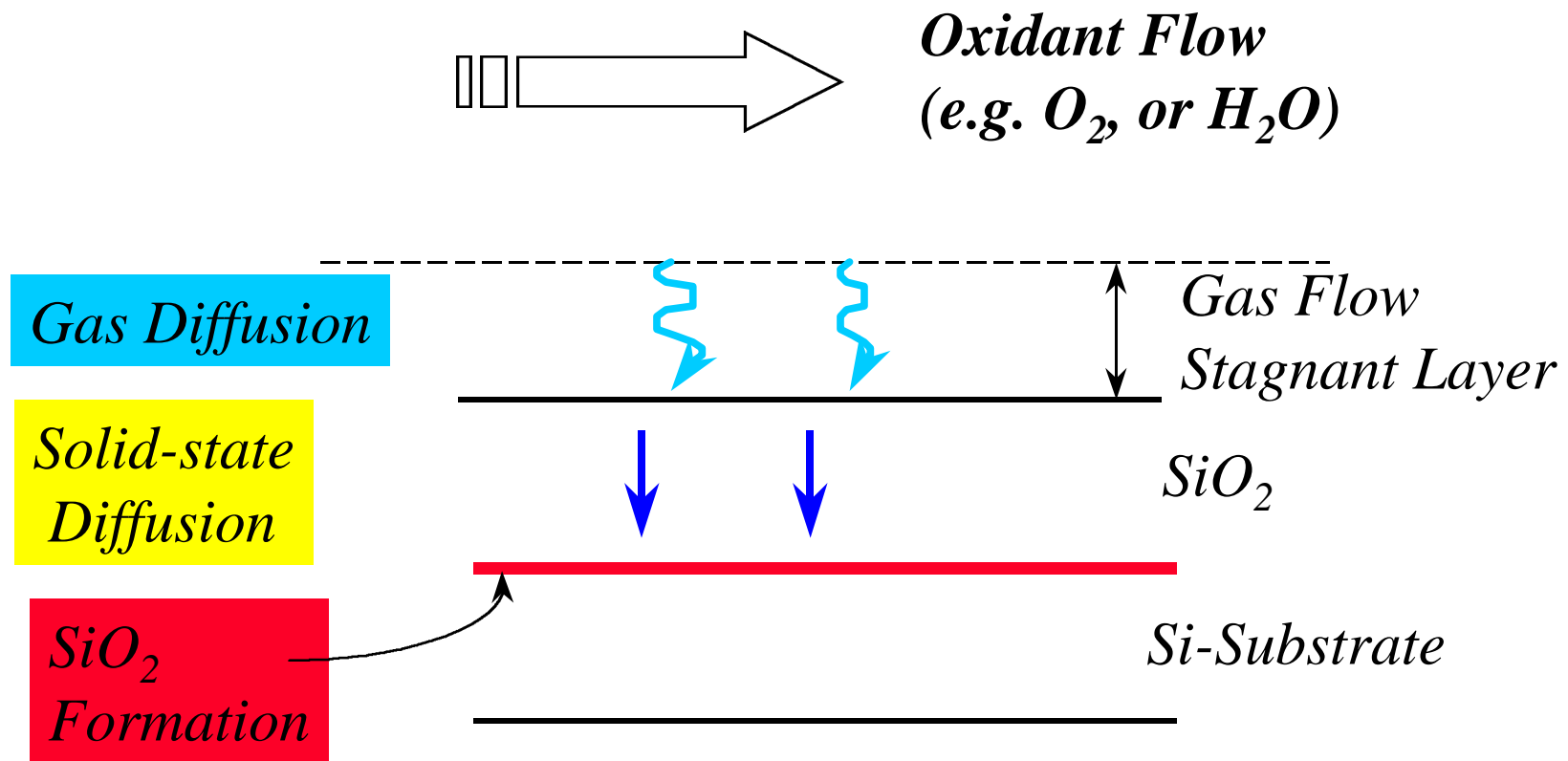
$$= X_{ox} \cdot \frac{2.3 \times 10^{22} \text{ molecules / cm}^3}{5 \times 10^{22} \text{ atoms / cm}^3} = 0.46 X_{ox}$$

$1\ \mu\text{m Si oxidized}$

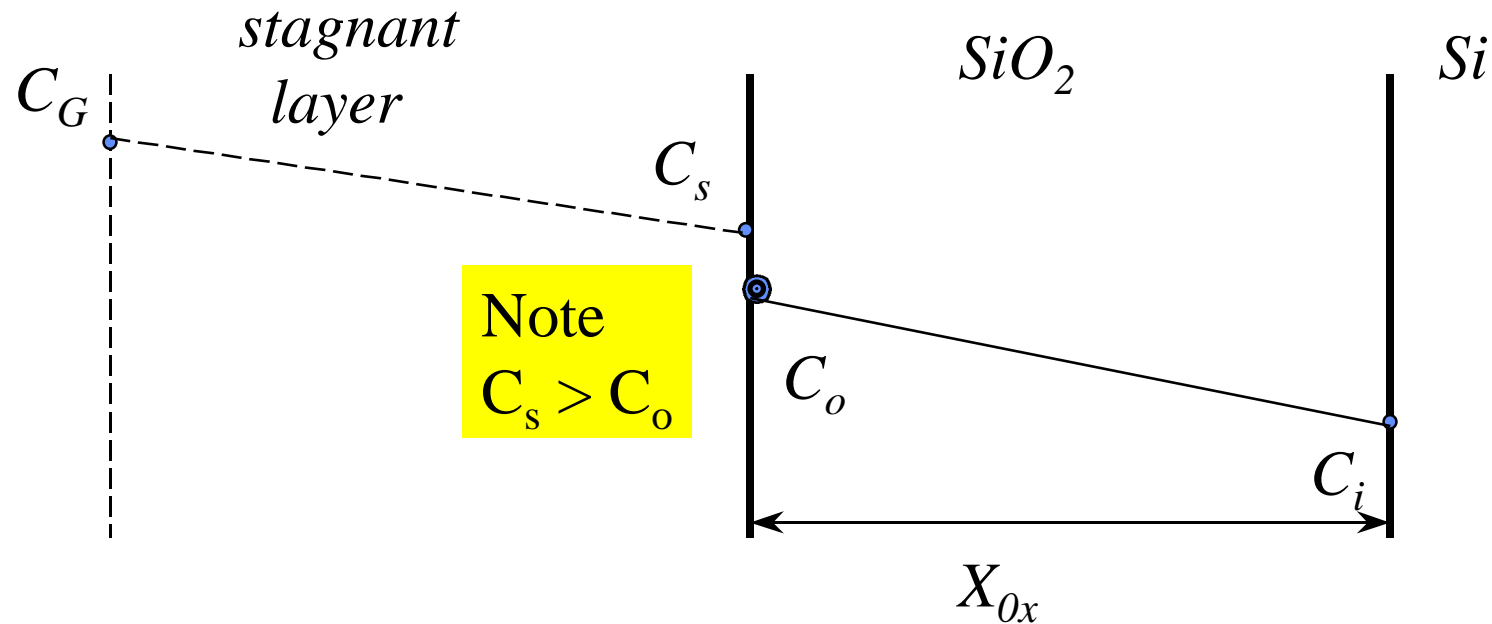



$2.17\ \mu\text{m SiO}_2$


Kinetics of SiO₂ Growth




Deal-Grove Model



F_1 
gas
transport
flux

F_2 
diffusion
flux
through SiO_2

F_3 
reaction
flux
at interface

$$F_1 = h_G (C_G - C_S)$$

 *mass transfer coefficient [cm/sec].*

$$F_2 = -D \frac{\partial C}{\partial x} \quad \text{Fick's Law of Solid-state Diffusion.}$$

$$\cong D \cdot \left(\frac{C_o - C_i}{X_{ox}} \right)$$

 *Diffusivity [cm²/sec]*

$$F_3 = k_s \cdot C_i$$

 *surface reaction rate constant [cm/sec]*

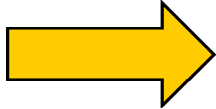
We use *Henry's Law* to relate C_o and C_s

$$C_o = H \cdot P_s$$

Henry's
constant

partial pressure of oxidant
at surface [in gaseous form].


$$= H \cdot (kT \cdot C_s) \quad \left\{ \begin{array}{l} \text{use } C_s = \frac{N}{V} \\ PV = NkT \end{array} \right.$$



$$C_s = \frac{C_o}{HkT}$$

Define $C_A \equiv (HkT \cdot C_G)$

$$F_1 = \left(\frac{h_G}{HkT} \right) (C_A - C_o)$$


 $\equiv h$

At steady-state:

$$F_1 = F_2 = F_3$$

①
②

**2 equations:
2 unknown: C_o & C_i**

$$C_i = \frac{C_A}{1 + \frac{k_s}{h} + \frac{k_s X_{ox}}{D}}$$

$$C_o = C_i \cdot \left(1 + \frac{k_s X_{ox}}{D} \right)$$

$$F (= F_1 = F_2 = F_3) = k_s \cdot C_i = \frac{k_s C_A}{1 + \frac{k_s}{h} + \frac{k_s X_{ox}}{D}}$$

Now, to convert F into Oxide Thickness Growth Rate

$$F = N_1 \cdot \left(\frac{dX_{ox}}{dt} \right)$$

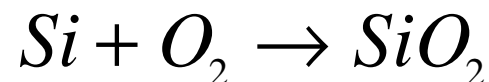
oxidant molecules/unit volume required to form a unit volume of SiO_2 .

$$\frac{k_s C_A}{1 + \frac{k_s}{h} + \frac{k_s X_{ox}}{D}}$$

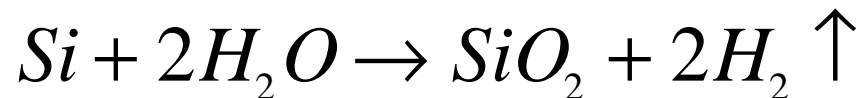
$$N_1 \cdot \frac{dX_{ox}}{dt} = \left[\frac{k_s C_A}{1 + \frac{k_s}{h} + \frac{k_s X_{ox}}{D}} \right]$$

[Comment]

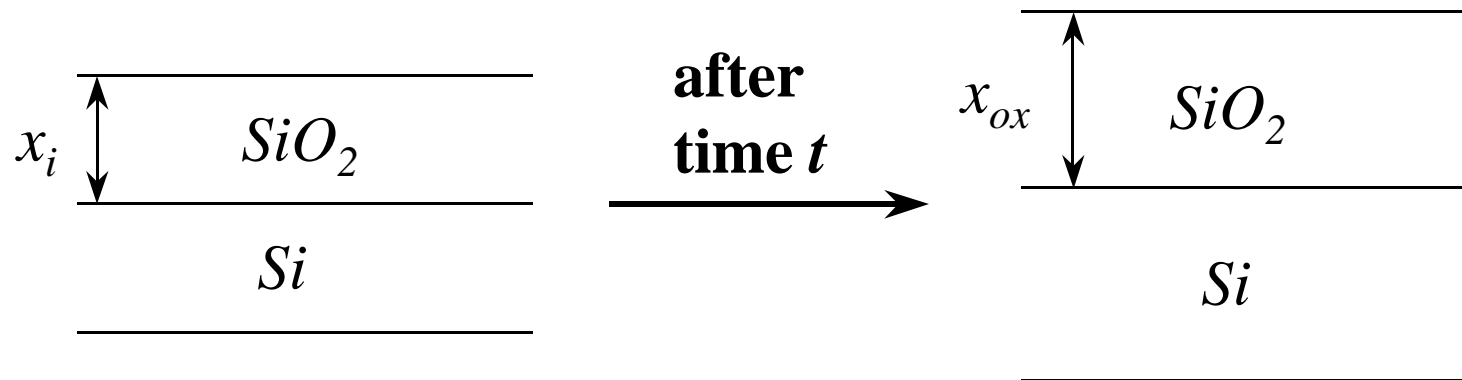
$$N_1 = 2.3 \times 10^{22} / \text{cm}^3 \quad \text{for } O_2 \text{ as oxidant}$$



$$N_1 = 4.6 \times 10^{22} / \text{cm}^3 \quad \text{for } H_2O \text{ as oxidant}$$



Boundary Condition: At $t = 0$, $X_{ox} = X_i$



Solution $X_{ox}^2 + AX_{ox} = B(t + t)$

$$A \equiv 2D \left(\frac{1}{k_s} + \frac{1}{h} \right)$$

$$B \equiv \frac{2DC_A}{N_1}$$

$$t = \frac{X_i^2 + AX_i}{B}$$

$$X_{ox} = \frac{A}{2} \left\{ \sqrt{1 + \left(\frac{t + t}{A^2 / 4B} \right)} - 1 \right\}$$

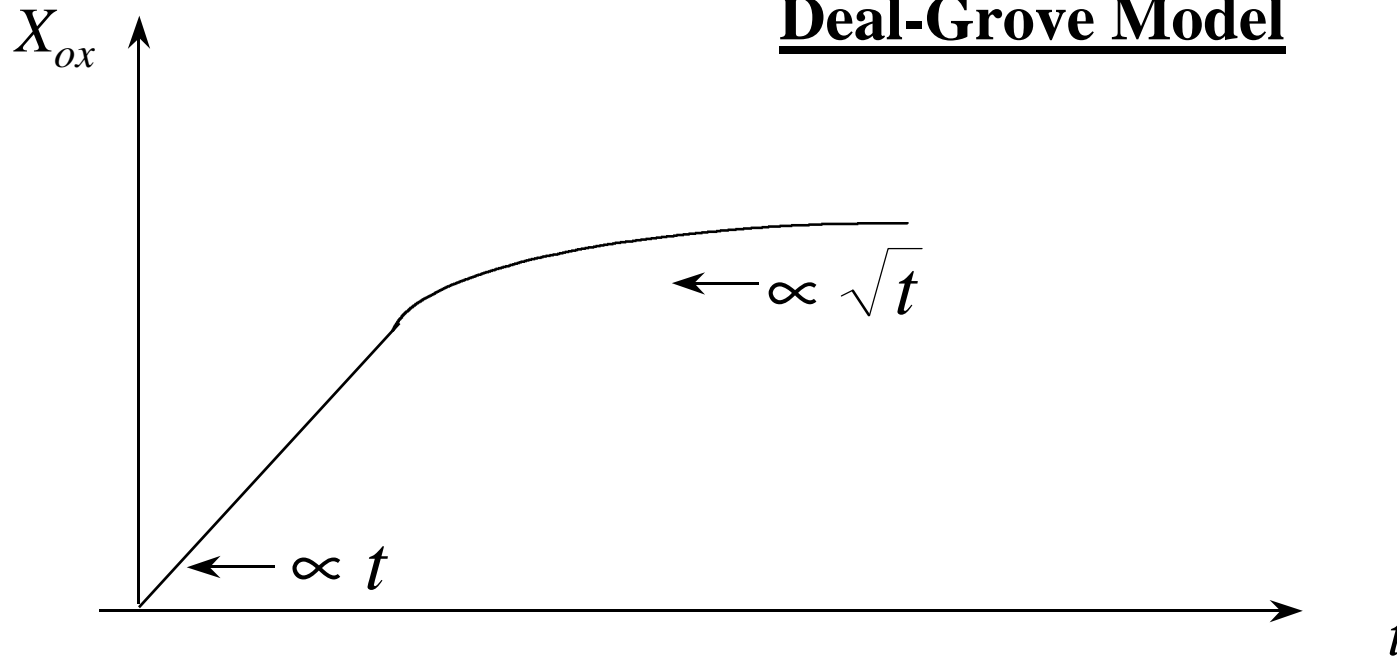
(Case 1) Large t [large X_{ox}]

$$X_{ox} \rightarrow \sqrt{Bt}$$

(Case 2) Small t [Small X_{ox}]

$$X_{ox} \rightarrow \frac{B}{A} t$$

Deal-Grove Model



$$X_{ox}^2 + AX_{ox} = B(t + t)$$

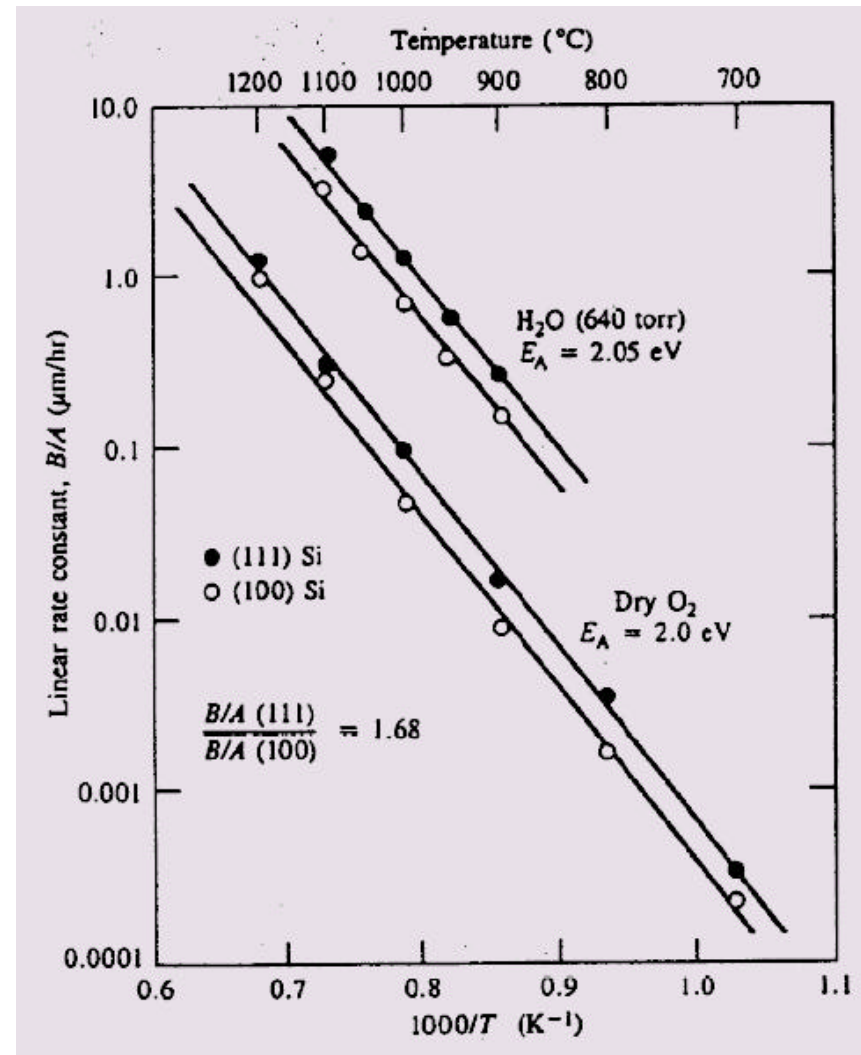
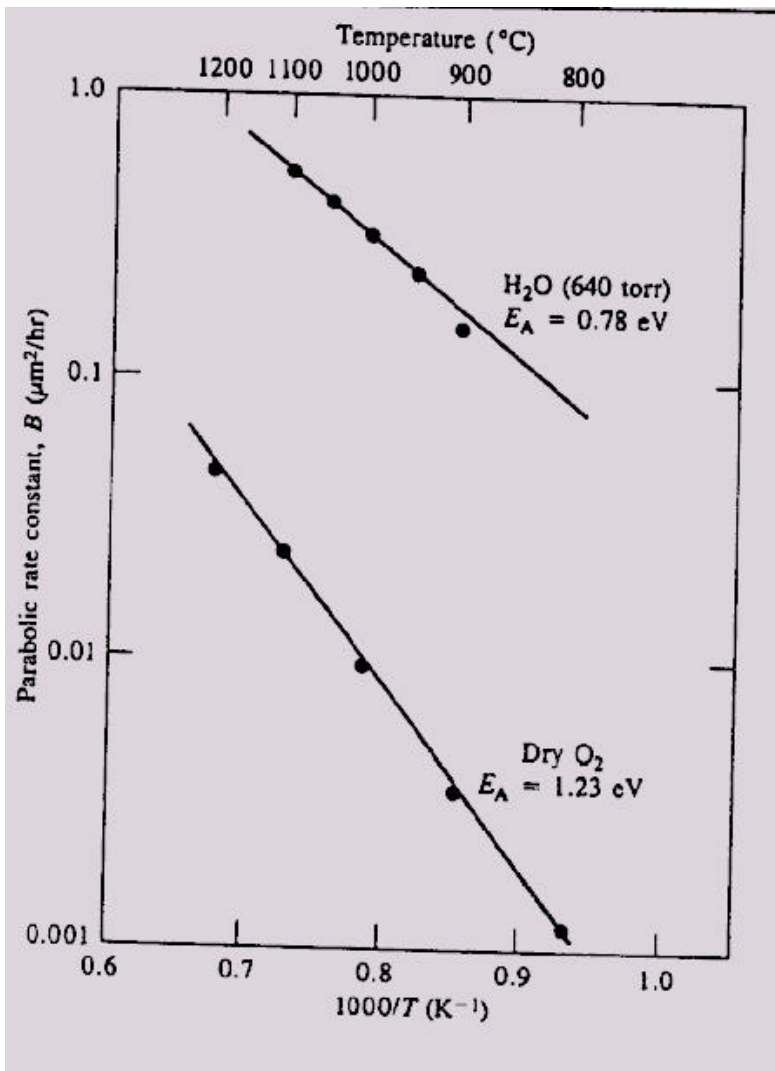
$$2X_{ox} \frac{dx_{ox}}{dt} + A \frac{dx_{ox}}{dt} = B$$

$$\therefore \frac{dx_{ox}}{dt} = \frac{B}{A + 2X_{ox}}$$

**Oxide Growth Rate slows
down with increase oxide thickness**

B = Parabolic Constant

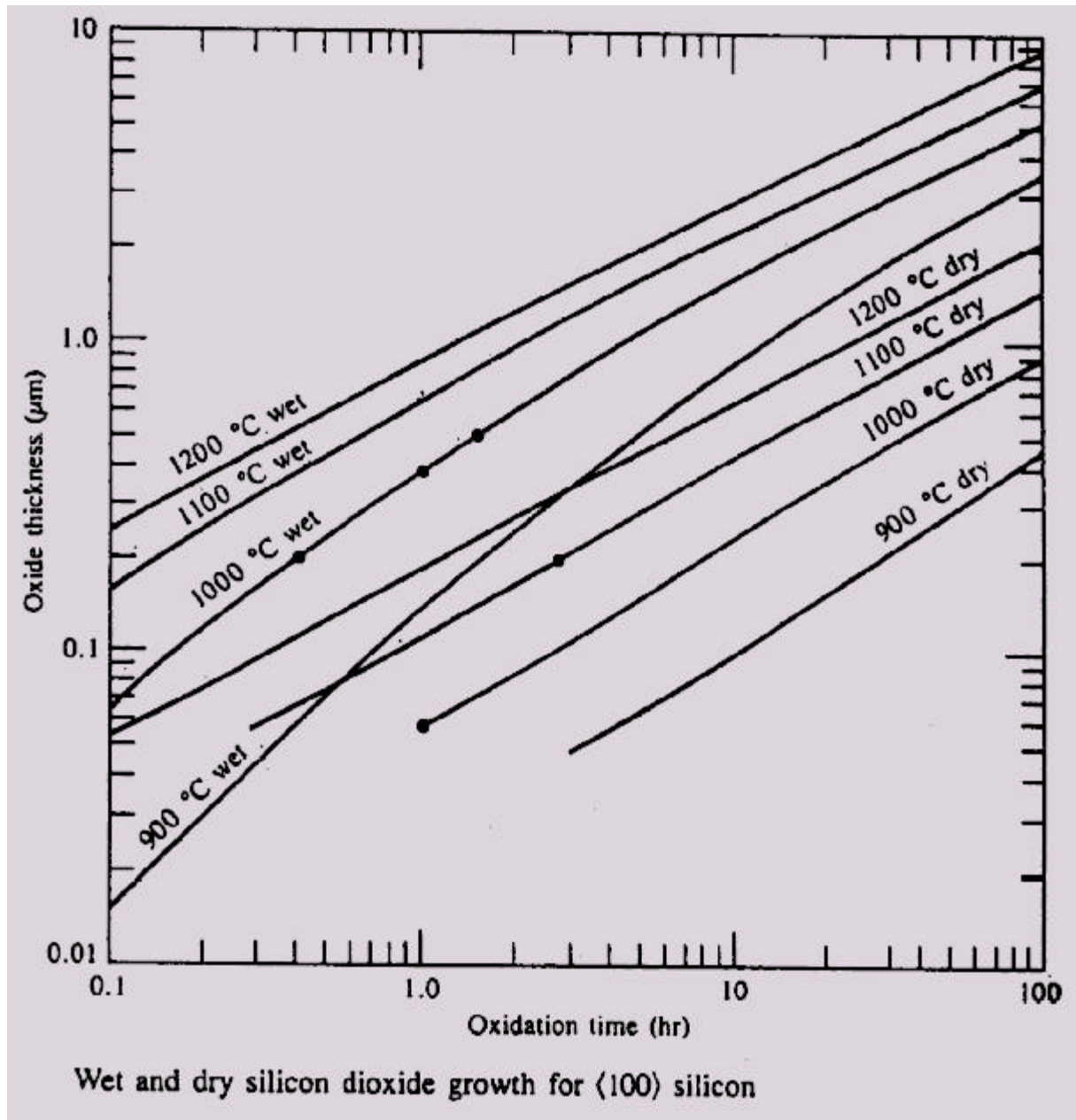
B/A = Linear Constant



Oxidation Charts

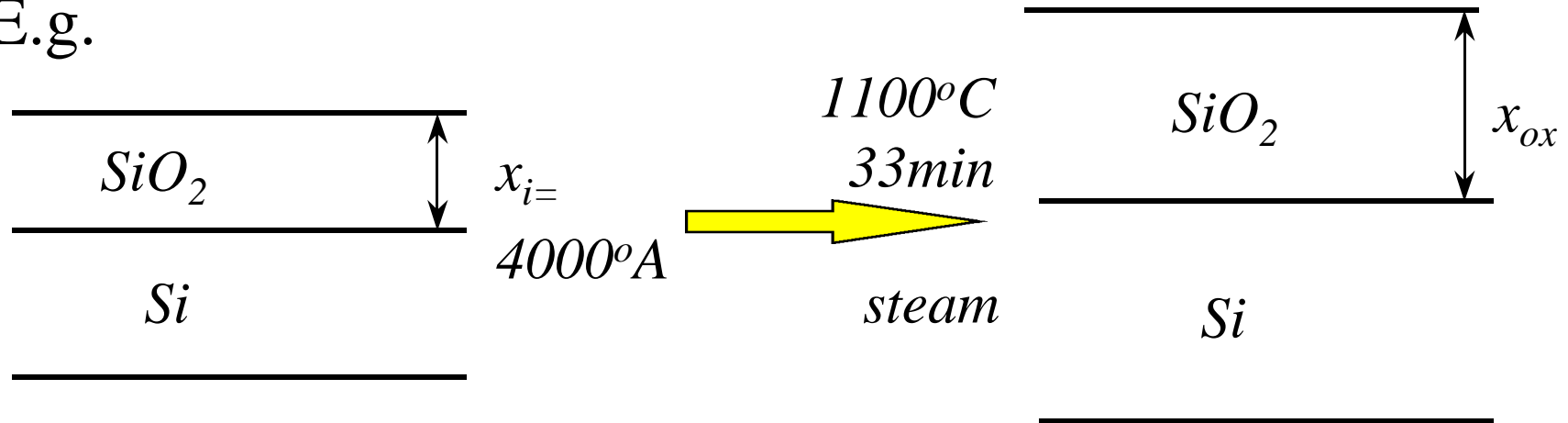
The charts are based on

$$X_i=0 !$$



Two Ways to Calculate Oxide Thickness Grown by Thermal Oxidation

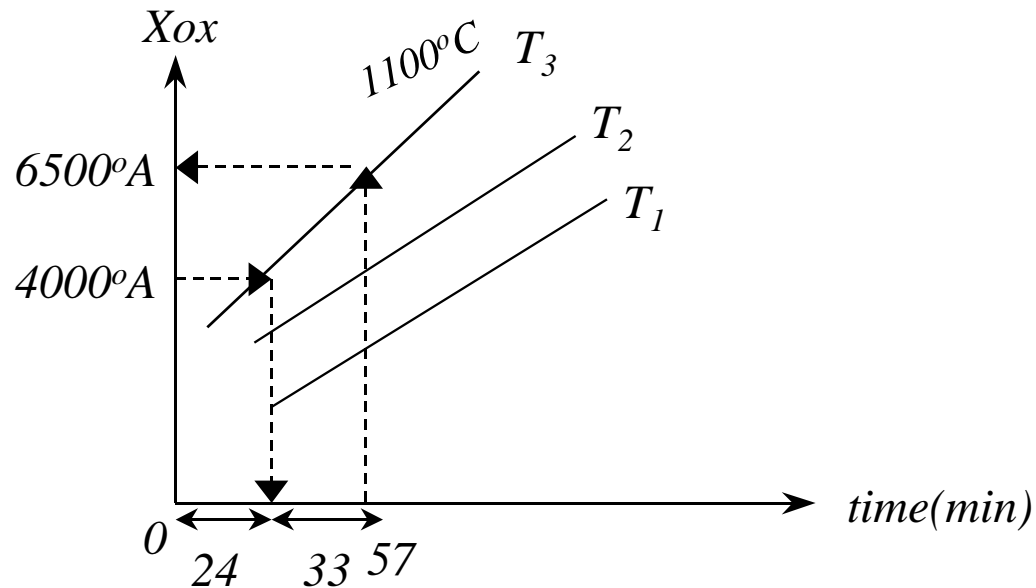
E.g.



Method 1: Find B & B/A from Charts

Solve
$$X_{ox}^2 + AX_{ox} = B(t + t)$$

Method 2: Use Oxidation Charts



The charts are
based on

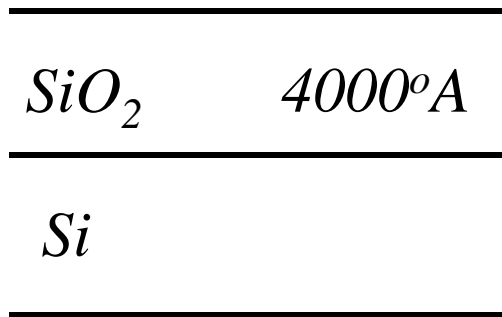
$$X_i = 0 !$$

$X_i = 4000 \text{ A} \Rightarrow t = 24 \text{ min}$ at 1100°C from chart

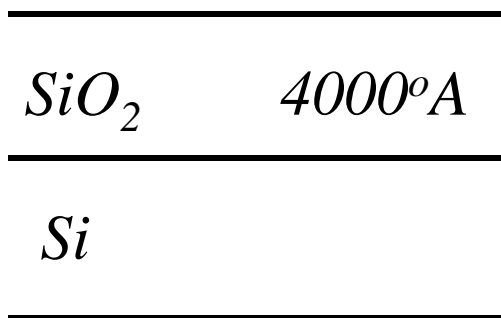
\therefore Total effective oxidation time

$$(24 + 33) \text{ min} = 57 \text{ min} \quad \text{if start with } X_i = 0$$

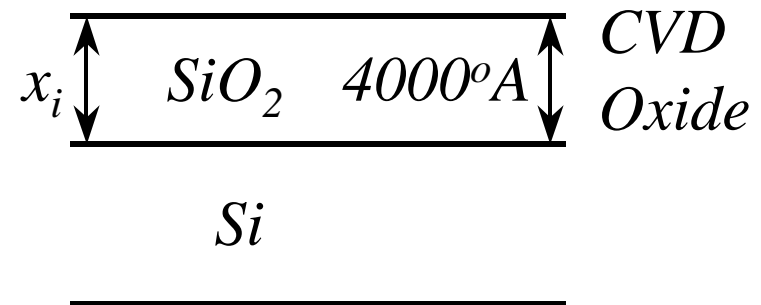
(1) Grown at 1000°C , $t=5\text{hrs}$



(2) Grown at 1100°C , 24min



(3) CVD Oxide



τ is the same for all three cases shown here

Effect of X_i on Wafer Topography

